

Please type a plus sign (+) inside this box



Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. PC3-061

First Inventor Yuichi Iikubo

Title Processes for Halogenating Compounds

Express Mail Label No. EL 979978427 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 90]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 6]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney *(when there is an assignee)*
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. Other: **Check for \$770.00; and..... Form 1449.**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. 09 / 966,158

Prior application information:

Examiner Price, Elvis O.

Group Art Unit: 1621

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label

021567

(Insert Customer No. or Attach bar code label here)

or

Correspondence address below

Name

Address

City

Country

State

Zip Code

Telephone

Fax

Name (Print/Type)

Robert C. Hytza

Registration No. (Attorney/Agent)

46,791

Signature

Date October 30, 2003

22581 U.S.PTO
10/698731

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

E 979978427



16569 U.S.PTO

103103

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.TOTAL AMOUNT OF PAYMENT (\$)
770.00

Complete if Known	
Application Number	Priority 09/966,158
Filing Date	Priority September 28, 2001
First Named Inventor	Yuichi Iikubo
Examiner Name	Priority Price, Elvis O.
Group / Art Unit	Priority 1621
Attorney Docket No.	PC3-061

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 23-0925</p> <p>Deposit Account Name Wells, St. John, P.S.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath 0.00</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet. 0.00</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification 0.00</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination 0.00</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action 0.00</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action 0.00</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month 0.00</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within second month 0.00</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within third month 0.00</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension for reply within fourth month 0.00</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension for reply within fifth month 0.00</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal 0.00</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of an appeal 0.00</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing 0.00</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding 0.00</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable 0.00</td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional 0.00</td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue) 0.00</td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee 0.00</td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee 0.00</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner 0.00</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications 0.00</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt 0.00</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties) 0.00</td></tr> <tr><td>146</td><td>690</td><td>246</td><td>345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a)) 0.00</td></tr> <tr><td>149</td><td>690</td><td>249</td><td>345</td><td>For each additional invention to be examined (37 CFR § 1.129(b)) 0.00</td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td>0.00</td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td>0.00</td></tr> <tr> <td colspan="4">SUBTOTAL (1) (\$) 770.00</td> <td>SUBTOTAL (3) (\$) 0.00</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid	105	130	205	65	Surcharge - late filing fee or oath 0.00	127	50	227	25	Surcharge - late provisional filing fee or cover sheet. 0.00	139	130	139	130	Non-English specification 0.00	147	2,520	147	2,520	For filing a request for reexamination 0.00	112	920*	112	920*	Requesting publication of SIR prior to Examiner action 0.00	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action 0.00	115	110	215	55	Extension for reply within first month 0.00	116	380	216	190	Extension for reply within second month 0.00	117	870	217	435	Extension for reply within third month 0.00	118	1,360	218	680	Extension for reply within fourth month 0.00	128	1,850	228	925	Extension for reply within fifth month 0.00	119	300	219	150	Notice of Appeal 0.00	120	300	220	150	Filing a brief in support of an appeal 0.00	121	260	221	130	Request for oral hearing 0.00	138	1,510	138	1,510	Petition to institute a public use proceeding 0.00	140	110	240	55	Petition to revive - unavoidable 0.00	141	1,210	241	605	Petition to revive - unintentional 0.00	142	1,210	242	605	Utility issue fee (or reissue) 0.00	143	430	243	215	Design issue fee 0.00	144	580	244	290	Plant issue fee 0.00	122	130	122	130	Petitions to the Commissioner 0.00	123	50	123	50	Petitions related to provisional applications 0.00	126	240	126	240	Submission of Information Disclosure Stmt 0.00	581	40	581	40	Recording each patent assignment per property (times number of properties) 0.00	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a)) 0.00	149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b)) 0.00	Other fee (specify) _____				0.00	Other fee (specify) _____				0.00	SUBTOTAL (1) (\$) 770.00				SUBTOTAL (3) (\$) 0.00
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid																																																																																																																																																									
105	130	205	65	Surcharge - late filing fee or oath 0.00																																																																																																																																																									
127	50	227	25	Surcharge - late provisional filing fee or cover sheet. 0.00																																																																																																																																																									
139	130	139	130	Non-English specification 0.00																																																																																																																																																									
147	2,520	147	2,520	For filing a request for reexamination 0.00																																																																																																																																																									
112	920*	112	920*	Requesting publication of SIR prior to Examiner action 0.00																																																																																																																																																									
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action 0.00																																																																																																																																																									
115	110	215	55	Extension for reply within first month 0.00																																																																																																																																																									
116	380	216	190	Extension for reply within second month 0.00																																																																																																																																																									
117	870	217	435	Extension for reply within third month 0.00																																																																																																																																																									
118	1,360	218	680	Extension for reply within fourth month 0.00																																																																																																																																																									
128	1,850	228	925	Extension for reply within fifth month 0.00																																																																																																																																																									
119	300	219	150	Notice of Appeal 0.00																																																																																																																																																									
120	300	220	150	Filing a brief in support of an appeal 0.00																																																																																																																																																									
121	260	221	130	Request for oral hearing 0.00																																																																																																																																																									
138	1,510	138	1,510	Petition to institute a public use proceeding 0.00																																																																																																																																																									
140	110	240	55	Petition to revive - unavoidable 0.00																																																																																																																																																									
141	1,210	241	605	Petition to revive - unintentional 0.00																																																																																																																																																									
142	1,210	242	605	Utility issue fee (or reissue) 0.00																																																																																																																																																									
143	430	243	215	Design issue fee 0.00																																																																																																																																																									
144	580	244	290	Plant issue fee 0.00																																																																																																																																																									
122	130	122	130	Petitions to the Commissioner 0.00																																																																																																																																																									
123	50	123	50	Petitions related to provisional applications 0.00																																																																																																																																																									
126	240	126	240	Submission of Information Disclosure Stmt 0.00																																																																																																																																																									
581	40	581	40	Recording each patent assignment per property (times number of properties) 0.00																																																																																																																																																									
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a)) 0.00																																																																																																																																																									
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b)) 0.00																																																																																																																																																									
Other fee (specify) _____				0.00																																																																																																																																																									
Other fee (specify) _____				0.00																																																																																																																																																									
SUBTOTAL (1) (\$) 770.00				SUBTOTAL (3) (\$) 0.00																																																																																																																																																									
<p>*or number previously paid, if greater; For Reissues, see below</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>78</td><td>202</td><td>39</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>260</td><td>204</td><td>130</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>78</td><td>209</td><td>39</td><td>** Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	78	202	39	Independent claims in excess of 3	104	260	204	130	Multiple dependent claim, if not paid	109	78	209	39	** Reissue independent claims over original patent	110	18	210	9	** Reissue claims in excess of 20 and over original patent	<p>Reduced by Basic Filing Fee Paid</p> <p>Subtotal (3) (\$) 0.00</p>																																																																																																																													
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description																																																																																																																																																											
103	18	203	9	Claims in excess of 20																																																																																																																																																									
102	78	202	39	Independent claims in excess of 3																																																																																																																																																									
104	260	204	130	Multiple dependent claim, if not paid																																																																																																																																																									
109	78	209	39	** Reissue independent claims over original patent																																																																																																																																																									
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																									

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Robert C. Hyta	Registration No. (Attorney/Agent)	46,791	Telephone 509-624-4276
Signature		Date	10/31/03	

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

E 979973427